

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Jo-Wen Lin	Confirmation No.:	4195
Appln. No.:	10/700,200	Examiner:	D. Isabella
Filing Date:	November 3, 2003	Group Art Unit:	3738
Title:	Spinal Implant Insertion Adjustment Instrument and Implants for use Therewith		

## SUBMISSION UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**Osteotech, Inc.**, a Delaware corporation, is an owner of the patent application identified above by virtue of either:

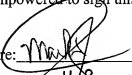
1. ☒ An assignment from the inventor(s) of priority patent application number 60/425,941, a copy of which is attached.

OR

2. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
- a. From: To:  
The document was recorded in the U.S. Patent and Trademark Office at Reel  
, Frame , or a copy of which is attached.
- b. From: To:  
The document was recorded in the U.S. Patent and Trademark Office at Reel  
, Frame , or a copy of which is attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of **Osteotech, Inc.**

Dated: 1/3/08

Signature:   
Name: Mark H. Burroughs  
Title: Executive Vice President  
Chief Financial Officer



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

525400-293

MAY 15, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

CARELLA, BYRNE, BAIN, GILFILLAN ET AL  
WILLIAM SQUIRE  
6 BECKER FARM ROAD  
ROSELAND, NJ 07068



\*102340104A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/14/2003

REEL/FRAME: 013654/0865  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LIN, JO-WEN

DOC DATE: 12/23/2002

ASSIGNEE:

OSTEOTECH, INC.  
51 JAMES WAY  
EATONTOWN, NEW JERSEY 07731

SERIAL NUMBER: 60425941

FILING DATE: 11/13/2002

PATENT NUMBER:

ISSUE DATE:

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

DATE RECEIVED:	5-14-03
DATES ENTERED:	
1)	
2)	
3)	
4)	
5)	
6)	
7)	
8)	
9)	
10)	
11)	
12)	
13)	
14)	
15)	
16)	
17)	
18)	
19)	
20)	
21)	
22)	
23)	
24)	
25)	
26)	
27)	
28)	
29)	
30)	
31)	
32)	
33)	
34)	
35)	
36)	
37)	
38)	
39)	
40)	
41)	
42)	
43)	
44)	
45)	
46)	
47)	
48)	
49)	
50)	
51)	
52)	
53)	
54)	
55)	
56)	
57)	
58)	
59)	
60)	
61)	
62)	
63)	
64)	
65)	
66)	
67)	
68)	
69)	
70)	
71)	
72)	
73)	
74)	
75)	
76)	
77)	
78)	
79)	
80)	
81)	
82)	
83)	
84)	
85)	
86)	
87)	
88)	
89)	
90)	
91)	
92)	
93)	
94)	
95)	
96)	
97)	
98)	
99)	
100)	

nt 5/24/3

01-16-2003

FORM PTO-1595  
(Rev. 3-01)  
OMB No. 0651-0027 (exp. 5/31/2002)

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office



102340104

To the Honorable Commissioner of Patent and Trademarks: Please return enclosed original documents or copy thereof.

## 1. Name of conveying party(ies):

Jo-Wen Lin

Additional name(s) of conveying party(ies) attached:

☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security/Agreement ☐ Change of Name  
☐ Other

Execution Date: December 23, 2002

## 2. Name and address of receiving party(ies):

Name: Osteotech, Inc.

Internal Address:

Street Address: 51 James WayCity: Eaton townState: NJZip: 07731Additional name(s) & address(es) attached: ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 60/425,941

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: William SquireInternal Address: Carella, Byrne, Bain, Gilfillan, Cecchi,  
Stewart & OlsteinStreet Address: 6 Becker Farm RoadCity: Roseland State: New Jersey Zip: 070686. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41)....\$40.00☒ Enclosed☒ Additional fees, if any, authorized to be charged to deposit account8. Deposit account number: 03-0678

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

William Squire  
Name of Person Signing

Signature

January 9, 2003  
Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

#165881 /525400-293

01/16/2003 LMUELLER 00000032 60425941

01 FC:8021

40.00 OP

OFFICE OF PUBLIC RECORDS  
JAN 14 AM 9:51  
FINANCE SECTION

## ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned, Jo-Wen Lin, hereby sells, assigns and transfers to Osteotech, Inc. ("Assignee"), having a place of business at 51 James Way, Eatontown, NJ 07731, a Delaware corporation, its successors, assigns and legal representatives, his entire right, title and interest for the United States and all other countries, in and to the improvements(s) known as: United States Application Serial No. 60/425,941, filed November 13, 2002, and/or executed on even date herewith and is entitled:

### SPINAL IMPLANT INSERTION ADJUSTMENT INSTRUMENT AND IMPLANTS FOR USE THEREWITH

and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all other countries on any of said improvements, and in and to all original, re-examined and reissued patents and extensions thereof which have been or shall be issued in the United States and all other countries on said improvements (hereinafter collectively, the "Improvements").

The undersigned further agrees that said Assignee may apply for and receive Letters Patent for said Improvements in its own name; and, when requested, without charge to but at the expense of Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue and all other patent applications on all such Improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives all facts known to the undersigned relating to said Improvements and the history thereof; and do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said Improvements and for vesting title to said Improvements and all applications for patent and all patents on said improvements in Assignee, its successors, assigns and representatives.

The undersigned hereby represents and warrants to Assignee, its successors, assigns and representatives that no assignment, grant, mortgage, license or other right or agreement affecting the rights and property herein conveyed has been made to others by the undersigned and that full right to convey the same as expressed herein is possessed by the undersigned.

The undersigned hereby grants power to John N. Bain (Reg. No. 18,651); John G. Gilfillan, III (Reg. No. 22,746); Elliot M. Olstein (Reg. No. 24,025); Raymond J. Lillie (Reg. No. 31,778); William Squire (Reg. No. 25,378); Alan J. Grant (Reg. No. 33,389); Francis C. Hand (Reg. No. 22,280); G. Glennon Troublefield (Reg. No. 39,050); and Raymond E. Stauffer (Reg. No. 47,109) to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document. Address correspondence and telephone calls to William Squire, c/o Carella, Byrne, Bain, Gilfillan, Cecchi, Stewart & Olstein, 6 Becker Farm Road, Roseland, New Jersey 07068.

IN WITNESS WHEREOF, this Assignment is executed by the undersigned on the date(s) opposite their signature(s):

Inventor's Signature: \_\_\_\_\_

  
Jo-Wen Lin

Date: 12/23/2002

STATE OF NJ COUNTY OF Monmouth: 140-567648

Before me this 23 day of Dec, 2002, personally appeared the above named individual(s), to me known to be the person(s) who are described in and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

  
Notary Public

MARY A. BAILEY  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires Feb. 24, 2005

CARELLA, BYRNE, BAIN, GILFILLAN, CECCHI, STEWART & OLSTEIN  
6 Becker Farm Road - Roseland, NJ 07068 - (973) 994-1700